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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 10/762,395

Filing Date January 22, 2004

First Named Inventor Tony Giordano

Group Art Unit 1645

Examiner Name Unknown

Total Number of Pages in This Submission

Attorney Docket Number 26788-009

ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☒ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/
Incomplete Application

☐ Response to Missing
Parts under 37 CFR
1.52 or 1.53

☐ Assignment Papers
(for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a
Provisional Application

☐ Power of Attorney, Revocation
Change of Correspondence Address

☐ Terminal Disclaimer

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☐ After Allowance Communication
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(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please
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- Transmittal for IDS
- PTO-1449 Form with
Copies of References
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Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

Kathleen W. Geiger, Registration No. 35,880

Signature

Kathleen W. Geiger
Feb 8, 05

Date

CERTIFICATE OF MAILING

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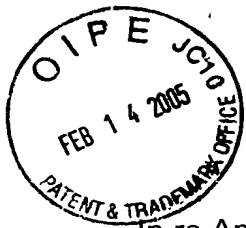
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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Giordano et al.

Serial No: 10/762,395

Filed: January 22, 2004

For: Use of Post-Transcriptional Gene
Silencing for Identifying Nucleic Acid
Sequences That Modulate the Function
of a Cell

Case No.: 26788-009

Art Unit: 1645

Examiner: Unknown

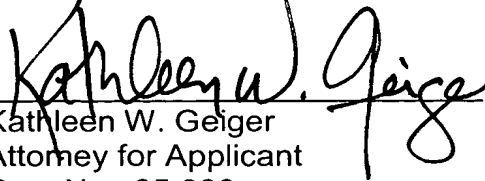
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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
BEFORE MAILING DATE OF A FIRST OFFICE ACTION ON THE
MERITS (37 CFR § 1.97(b)(3))**

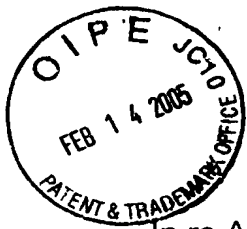
Sir:

1. The Information Disclosure Statement transmitted herewith is being filed **before** the mailing date of the earliest occurrence of a first Office Action on the merits.
2. Applicant believes that no fee is due in accordance with the filing of this Information Disclosure Statement.
3. The Commissioner is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 501447 (Potter Anderson & Corroon LLP). A duplicate copy of this Petition is enclosed.

Respectfully submitted,


Kathleen W. Geiger
Attorney for Applicant
Reg. No. 35,880
Telephone: (302) 984-6075
Facsimile: (302) 658-1192

Date: Feb 08, 05



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Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Listed on accompanying PTO-1449 Form are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. This statement is being filed within the time period specified in 37 CFR §1.97(b)(3). Applicant believes that no fee is required.

The Examiner is requested to consider and to make record herein of all the references cited by or to the Office in connection with the listed applications. In accordance with 37 C.F.R. §1.97(d) Applicant has not included copies of the U.S. Patents listed on the enclosed PTO-1449 Form.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.


This statement should not be construed as a representation that an exhaustive search has been made, or that there does not exist information more material to the examination of the present patent application. The Examiner is specifically requested not to rely solely on the material submitted herewith. It is

further understood that the Examiner will review art of record in all 35 U.S.C. § 120 priority documents.

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and to indicate in the official file wrapper of this patent application that the documents have been considered.

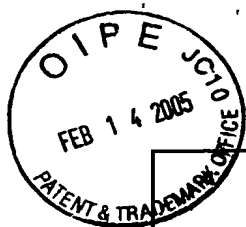
The Commissioner is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 501447 (Potter Anderson & Corroon). A duplicate copy of this pleading is enclosed.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Kathleen W. Geiger", is written over a horizontal line.

Kathleen W. Geiger
Attorney for Applicant
Reg. No. 35,880
Telephone: (302) 984-6075
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Date: Feb 8, 05



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Denise Bierlein

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Application No: 10/762,395

Filing Date: January 22, 2004

Title: Use of Post-Transcriptional Gene Silencing for Identifying Nucleic Acid Sequences That Modulate the Function of a Cell

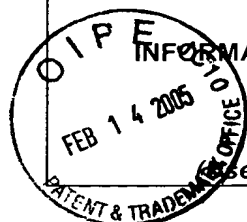
Attorney Docket: 26788-009

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- Transmittal for Information Disclosure Statement
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- PTO-1449 Form with Copies of References
- Receipt Card

Form PTO-1449

U.S. Department of Commerce
Patent and Trademark OfficeAttorney Docket No.:
26788-009Application No.:
10/762,395Filing Date: **January 22, 2004**

First Named Inventor:

Tony GiordanoExaminer Name:
UnknownArt Group Unit: **1645**

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

Examiner Initial	Cite No.	Office	Document Number	Kind Code	Name of Patentee or Applicant of Cited Document	Date of Publication	Pages, Cols., Lines where, Relevant Passages Appear
		US	4,897,355		Eppstein et al.	01/30/1990	
		US	5,264,618		Felgner et al.	11/23/1993	
		US	5,279,833		Rose	01/18/1994	
		US	5,283,185		Epand et al.	02/01/1994	
		US	5,459,127		Felgner et al.	10/17/1995	
		US	5,880,276		Hammarskjold et al.	03/09/1999	
		US	5,932,241		Gorman	08/03/1999	

FOREIGN PATENT DOCUMENTS

Examiner Initial	Cite No.	Office	Document Number	Kind Code	Name of Patentee or Applicant of Cited Document	Date of Publication	Pages, Cols., Lines where, Relevant Passages Appear	T
		WO	00/44914		Medical College of Georgia Research Institute Inc.	08/03/2000		
		WO	00/63364		American Home Products Corporation	10/26/2000		
		WO	01/68836	A2	Genetica, Inc.	09/20/2001		

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use several sheets if necessary)</i>		Filing Date: January 22, 2004	
		First Named Inventor: Tony Giordano	
		Examiner Name: Unknown	Art Group Unit: 1645

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

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		First Named Inventor: Tony Giordano	
		Examiner Name: Unknown	Art Group Unit: 1645

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		Pachuk et al., DNA Vaccines – Challenges in Delivery, Current Opinion in Molecular Therapeutics, 2000, Vol. 2(2), pp. 188-198
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